

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

22276  
10/6822395  
SPTC

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 13256US04

First Inventor Cole

Title Cover Assembly for an In-Floor  
Fitting

Express Mail Label No. EV 303 831 399 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)
	b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper
	c. <input type="checkbox"/> Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 16]	9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))
5. Oath or Declaration a. <input type="checkbox"/> Newly executed (original or copy)	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) Attorney
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	11. <input type="checkbox"/> English Translation Document (if applicable)
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
6 <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b) (2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input type="checkbox"/> Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 10/054,643

Prior application information:

Examiner: Thissell

Art Unit: 3635

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number: 23446 OR  Correspondence address below

Name				
Address				
City	State	Zip Code		
Country	Telephone	Fax		
Name (Print/type)	Joseph M. Butscher		Registration No. (Attorney/Agent)	48,326
Signature			Date	October 9, 2003

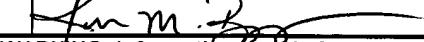
Under the Paperwork Reduction act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL for FY 2004

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT		(\$928)	Complet if Known
Application Number		Filing Date	October 9, 2003
First Named Inventor	Cole	Examiner Name	
Group Art Unit		Attorney Docket No.	13256US04

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																		
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="text-align: center;">Deposit Account Number</td><td>13-0017</td></tr> <tr><td style="text-align: center;">Deposit Account Name</td><td>McAndrews Held &amp; Malloy</td></tr> </table> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  <input type="checkbox"/> Applicant claims small entity status.  See 37 CFR 1.27</p>				Deposit Account Number	13-0017	Deposit Account Name	McAndrews Held & Malloy	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130 Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520 For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55 Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210 Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475 Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740 Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005 Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165 Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145 Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665 Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240 Design issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320 Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50 Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385 For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) -----</td><td></td></tr> <tr><td colspan="4">*Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3) (\$)</td></tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65 Surcharge - late filing fee or oath		1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130 Non-English specification		1812	2,520	1812	2,520 For filing a request for ex parte reexamination		1804	920*	1804	920* Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action		1251	110	2251	55 Extension for reply within first month		1252	420	2252	210 Extension for reply within second month		1253	950	2253	475 Extension for reply within third month		1254	1,480	2254	740 Extension for reply within fourth month		1255	2,010	2255	1,005 Extension for reply within fifth month		1401	330	2401	165 Notice of Appeal		1402	330	2402	165 Filing a brief in support of an appeal		1403	290	2403	145 Request for oral hearing		1451	1,510	1451	1510 Petition to institute a public use proceeding		1452	110	2452	55 Petition to revive - unavoidable		1453	1,330	2453	665 Petition to revive - unintentional		1501	1,330	2501	665 Utility issue fee (or reissue)		1502	480	2502	240 Design issue fee		1503	640	2503	320 Plant issue fee		1460	130	1460	130 Petitions to the Commissioner		1807	50	1807	50 Processing fee under 37 CFR 1.17(q)		1806	180	1806	180 Submission of Information Disclosure Stmt		8021	40	8021	40 Recording each patent assignment per property (times number of properties)		1809	770	2809	385 Filing a submission after final rejection (37 CFR § 1.129(a))		1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))		1801	770	2801	385 Request for Continued Examination (RCE)		1802	900	1802	900 Request for expedited examination of a design application		Other fee (specify) -----					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)
Deposit Account Number	13-0017																																																																																																																																																																					
Deposit Account Name	McAndrews Held & Malloy																																																																																																																																																																					
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																		
1051	130	2051	65 Surcharge - late filing fee or oath																																																																																																																																																																			
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																			
1053	130	1053	130 Non-English specification																																																																																																																																																																			
1812	2,520	1812	2,520 For filing a request for ex parte reexamination																																																																																																																																																																			
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																																			
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																																			
1251	110	2251	55 Extension for reply within first month																																																																																																																																																																			
1252	420	2252	210 Extension for reply within second month																																																																																																																																																																			
1253	950	2253	475 Extension for reply within third month																																																																																																																																																																			
1254	1,480	2254	740 Extension for reply within fourth month																																																																																																																																																																			
1255	2,010	2255	1,005 Extension for reply within fifth month																																																																																																																																																																			
1401	330	2401	165 Notice of Appeal																																																																																																																																																																			
1402	330	2402	165 Filing a brief in support of an appeal																																																																																																																																																																			
1403	290	2403	145 Request for oral hearing																																																																																																																																																																			
1451	1,510	1451	1510 Petition to institute a public use proceeding																																																																																																																																																																			
1452	110	2452	55 Petition to revive - unavoidable																																																																																																																																																																			
1453	1,330	2453	665 Petition to revive - unintentional																																																																																																																																																																			
1501	1,330	2501	665 Utility issue fee (or reissue)																																																																																																																																																																			
1502	480	2502	240 Design issue fee																																																																																																																																																																			
1503	640	2503	320 Plant issue fee																																																																																																																																																																			
1460	130	1460	130 Petitions to the Commissioner																																																																																																																																																																			
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)																																																																																																																																																																			
1806	180	1806	180 Submission of Information Disclosure Stmt																																																																																																																																																																			
8021	40	8021	40 Recording each patent assignment per property (times number of properties)																																																																																																																																																																			
1809	770	2809	385 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																			
1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																			
1801	770	2801	385 Request for Continued Examination (RCE)																																																																																																																																																																			
1802	900	1802	900 Request for expedited examination of a design application																																																																																																																																																																			
Other fee (specify) -----																																																																																																																																																																						
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)																																																																																																																																																																		
<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>24 - 20** =</th> <th>4 x</th> <th>16 =</th> <th>72</th> </tr> </thead> <tbody> <tr> <td>Independent Claims</td> <td>4 - 3** =</td> <td>1 x</td> <td>86 =</td> <td>86</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Large Entity Fee</td> <td>Small Entity Fee</td> <td>Fee Description</td> <td></td> <td></td> </tr> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td></td> <td></td> <td></td> </tr> <tr> <td>1202 18</td> <td>2202 9</td> <td>Claims in excess of 20</td> <td></td> <td></td> </tr> <tr> <td>1201 86</td> <td>2201 43</td> <td>Independent claims in excess of 3</td> <td></td> <td></td> </tr> <tr> <td>1203 290</td> <td>2203 145</td> <td>Multiple dependent claim, if not paid</td> <td></td> <td></td> </tr> <tr> <td>1204 86</td> <td>2204 43</td> <td>**Reissue independent claims over original patent</td> <td></td> <td></td> </tr> <tr> <td>1205 18</td> <td>2205 9</td> <td>**Reissue claims in excess of 20 and over original patent</td> <td></td> <td></td> </tr> <tr> <td colspan="4">SUBTOTAL (2) (\$158)</td><td></td></tr> </tbody> </table>				Total Claims	24 - 20** =	4 x	16 =	72	Independent Claims	4 - 3** =	1 x	86 =	86	Multiple Dependent					Large Entity Fee	Small Entity Fee	Fee Description			Fee Code (\$)	Fee Code (\$)				1202 18	2202 9	Claims in excess of 20			1201 86	2201 43	Independent claims in excess of 3			1203 290	2203 145	Multiple dependent claim, if not paid			1204 86	2204 43	**Reissue independent claims over original patent			1205 18	2205 9	**Reissue claims in excess of 20 and over original patent			SUBTOTAL (2) (\$158)					<p>**or number previously paid, if greater; For Reissues, see above</p>																																																																																																											
Total Claims	24 - 20** =	4 x	16 =	72																																																																																																																																																																		
Independent Claims	4 - 3** =	1 x	86 =	86																																																																																																																																																																		
Multiple Dependent																																																																																																																																																																						
Large Entity Fee	Small Entity Fee	Fee Description																																																																																																																																																																				
Fee Code (\$)	Fee Code (\$)																																																																																																																																																																					
1202 18	2202 9	Claims in excess of 20																																																																																																																																																																				
1201 86	2201 43	Independent claims in excess of 3																																																																																																																																																																				
1203 290	2203 145	Multiple dependent claim, if not paid																																																																																																																																																																				
1204 86	2204 43	**Reissue independent claims over original patent																																																																																																																																																																				
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																				
SUBTOTAL (2) (\$158)																																																																																																																																																																						

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Joseph M Butscher	Registration No. (Attorney or Agent)	48,326	Telephone	(312)775-8000
Signature			Date	October 9, 2003	

**WARNING:** Information on this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization on PTO-2038.